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Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**☐ Declaration Submitted with Initial Filing
OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	PA1671US
First Named Inventor	Clifford L. Hersh
COMPLETE IF KNOWN	
Application Number	09/729,515
Filing Date	December 4, 2000
Group Art Unit	unknown
Examiner Name	unknown

As a below named legal representative, I hereby declare on information and belief that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe that Herbert W. Sullivan was the first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method and System for Enhanced Concurrency in a Computing Environment

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

12/04/2000

as United States Application Number or PCT International

Application Number

09/729,515

and was amended on (MM/DD/YYYY)

02/05/2001

(if applicable).

Herbert W. Sullivan reviewed and understood the contents of the originally filed specification, including the claims.

Herbert W. Sullivan acknowledged the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

On behalf of Herbert W. Sullivan I hereby claim foreign priority benefits under Title 35 of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

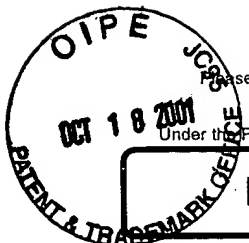
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

On behalf of Herbert W. Sullivan I hereby claim the benefit under 35 U.S.C. 119(e) of United States provisional application

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/168,861	12/02/1999	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 121 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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State

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Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR'S LEGAL REPRESENTATIVE: (Representing Herbert W. Sullivan)

Given Name Joan
(first and middle [if any])

Family Name Todd
or Surname

Representative's
Signature

Date

Residence: City

State N.Y.

Country U.S.

Citizenship U.S.

Mailing Address

Mailing Address

City

NEW YORK

State

N.Y.

ZIP

10023

Country

U.S.

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Family Name
or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



**DECLARATION FOR UTILITY OR
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(37 CFR 1.63)**

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(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/04/2000

as United States Application Number or PCT International

Application Number 09/729,515 and was amended on (MM/DD/YYYY) 02/05/2001 (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

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60/168,861	12/02/1999	



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DECLARATION — Utility or Design Patent Application

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Address

Address

City

State

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Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☒ A petition has been filed for this unsigned inventor

Given Name Clifford L.
(first and middle (if any))

Family Name Hersh
or Surname

Inventor's
Signature

Clifford Hersh

Date 10/17/001

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Mailing Address

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State CA

ZIP 94704

Country USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Herbert W.
(first and middle (if any))

Family Name Sullivan
or Surname

Inventor's Signature Signature of Joan Todd (representative of Herbert Sullivan), along with her address and citizenship appear on page 2 of Declaration

Date n/a

Residence: City New York

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Citizenship USA

Mailing Address 205 West End Avenue

Mailing Address Apt. 22E

City New York

State New York

ZIP 10023

Country USA

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.